

# Annotation Processing

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## goal

- give an overview of annotation processing
  - what are annotations?
    - meta information
  - how are they defined?
    - language features since JDK 5.0
  - how are they processed?
    - on the source code level
    - (on the byte code level)
    - at runtime via reflection

## speaker's qualifications

- independent trainer / consultant / author
  - teaching C++ and Java for 10+ years
  - curriculum of a dozen challenging courses
  - co-author of "Effective Java" column
  - author of Java Generics FAQ online
  - Java champion since 2005



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## agenda

- annotation language features
- processing annotations
- case studies



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# program annotation facility

- allows developers
  - to define custom *annotation types*
  - to *annotate* fields, methods, classes, etc. with *annotations* corresponding to these types
- allow tools to read and process the annotations
  - no direct affect on semantics of a program
  - e.g. tool can produce
    - additional Java source files, or
    - XML documents related to the annotated program, or
    - ...



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## sample usage

- annotated class

```
@Copyright("2008 Vibro Systems, Ltd.")  
public class OscillationOverthruster { ... }
```

- corresponding definition of annotation type

```
public interface Copyright { String value(); }
```

- reading an annotation via reflection

```
String copyrightHolder  
= OscillationOverthruster.class.  
getAnnotation(Copyright.class).value();
```



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## retention

- it makes little sense to retain all annotations at run time
  - would increase run-time memory-footprint
- annotations can have different lifetime:

SOURCE:

- discarded after compilation

CLASS:

- recorded in the class file as signature attributes
- not retained until run time

RUNTIME:

- recorded in the class file *and* retained by the VM at run time
- may be read reflectively



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## annotation type

- every annotation has an *annotation type*
  - takes the form of a highly restricted interface declaration
  - new "keyword" `@interface`
  - annotation types share namespace with class/interface/enum types
  - a *default value* may be specified for an annotation type member

```
public @interface RequestForEnhancement {  
    int id();  
    String synopsis();  
    String engineer() default "[unassigned]";  
    String date() default "[unimplemented]";  
}
```



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## using annotation types

```
@RequestForEnhancement(  
    id = 28,  
    synopsis = "Provide time-travel functionality",  
    engineer = "Mr. Peabody",  
    date = "12/24/2008"  
)  
public static void travelThroughTime(Date destination) { ... }
```

- members with a default may be omitted

```
@RequestForEnhancement(  
    id = 45,  
    synopsis = "Add extension as per request #392"  
)  
public static void balanceFederalBudget() {  
    throw new UnsupportedOperationException("Not implemented");  
}
```



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## annotatable program elements

- annotations used as modifiers in any **declaration**
  - package, class, interface, field, method, parameter, constructor, local variable, enum type, enum constant, annotation type

```
public @interface Copyright {String value();}  
public @interface Default {}
```

```
@Copyright("2004 Angelika Langer")  
public enum Color {RED, BLUE, GREEN, @Default NOCOLOR}
```



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## meta annotations

### @Target(ElementType[])

- indicates the program elements to which an annotation type can be applied
- values: TYPE, FIELD, METHOD, PARAMETER, CONSTRUCTOR, LOCAL\_VARIABLE, ANNOTATION\_TYPE, PACKAGE
- default: applicable to *all* program elements

### @Documented

- indicates that annotations are documented in javadoc

### @Retention(RetentionPolicy)

- indicates how long annotations are to be retained
- values: SOURCE, CLASS, RUNTIME
- default: CLASS



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## sample usage

- self-referential meta-annotation

```
@Documented  
@Retention(value=RUNTIME)  
@Target(value=ANNOTATION_TYPE)  
public interface Retention { RetentionPolicy value(); }
```



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## more annotated types

- JSR 308 (in Java 7.0) allows annotations as **type qualifiers** (on *any* use of a type)

- type parameter:  

```
Map<@NonNull String,  
     @NonEmpty List<@Readonly Document>> files;
```
- bounds:  

```
class Folder<File extends @Existing File> { ... }  
Collection<? super @Existing File> var;
```
- array:  

```
Document[@Readonly][] docs1  
= new Document[@Readonly 2][12];  
Document[][@Readonly] docs2  
= new Document[2][@Readonly 12];
```



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## disambiguation

```
@Dimension getSize() @Readonly { ... }
```

- @Readonly annotates the type of this

```
@Readonly @Dimension getSize() { ... }
```

- @Readonly annotates the return type

```
@Override  
 @NotNull @Dimension getSize() { ... }
```

- @NotNull annotates the return type
- @Override annotates the method declaration

- @Target meta-annotation indicates the intent:

```
@Target(ElementType.TYPE)  
public @interface Readonly {}  
@Target(ElementType.TYPE)  
public @interface NonNull {}  
@Target(ElementType.METHOD)  
public @interface Override {}
```



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## annotation processing

- can happen on 3 levels
  - introspectors
    - process *runtime-visible* annotations of their own program elements
    - use reflection and need annotations with RUNTIME retention
  - byte code analyzers
    - process annotations in . class files
    - e.g. stub generators
  - source code analyzers
    - process annotations in Java source code
    - e.g. compilers, documentation generators, class browsers



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- annotation language features
- processing annotations
  - reflection
  - pluggable annotation processing in 6.0
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## extensions to the reflection API

- additional methods in Package, Class, Field, Constructor, Method

```
<A extends Annotation>
A getAnnotation(Class<A> annotationClass)
```

- returns the specified annotation if present on this element

```
Annotations[] getAnnotations()
```

```
Annotations[] getDeclaredAnnotations()
```

- returns all annotations that are (directly) present on this element

```
boolean isAnnotationPresent
(Class<? extends Annotation> annotationClass)
```

- returns true if an annotation for the specified type is present on this element



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## reading annotations

```
@RequestForEnhancement(  
    id = 28,  
    synopsis = "Provide time-travel functionality",  
    engineer = "Mr. Peabody",  
    date = "24/12/2008"  
)  
public static void travelThroughTime(Date destination) { ... }
```

- accessed reflectively:

```
Method m = TimeTravel.class.getMethod  
        ("travelThroughTime", new Class[] {Date.class});  
RequestForEnhancement rfe  
    = m.getAnnotation(RequestForEnhancement.class);  
int id      = rfe.id();  
String synopsis = rfe.synopsis();  
String engineer = rfe.engineer();  
String date   = rfe.date();
```



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## annotation processing in Java 6.0

- annotation processing integrated into javac compiler
  - since Java 6.0; known as *pluggable annotation processing*
  - very similar to apt in Java 5.0; only minor differences
  - compiler automatically searches for annotation processors
  - unless disabled with -proc:none option
  - processors can be specified explicitly with -processor option
  - details at [java.sun.com/javase/6/docs/technotes/tools/windows/javac.html#processing](http://java.sun.com/javase/6/docs/technotes/tools/windows/javac.html#processing)
- example:  
`javac -processor MyAnnotationProcessor MyAnnotatedClass.java`



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## annotation processor

- implement a processor class
  - derives from AbstractProcessor
  - new package javax.annotation.processing
- specify supported annotation + options
  - by means of annotations: `@SupportedAnnotationTypes`  
`@SupportedOptions`  
`@SupportedSourceVersion`

```
@SupportedAnnotationTypes({"Property"})
@SupportedSourceVersion(SourceVersion.RELEASE_6)
public class PropertyAnnotationProcessor extends AbstractProcessor {
    public boolean process(Set<? extends TypeElement> annotations,
                          RoundEnvironment env) {
        ... process the source file elements using the mirror API ...
}
```



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## rounds

- annotation processing happens in a sequence of *rounds*
- 1<sup>st</sup> round:
  - compiler parses source files on the command line
    - to determine what annotations are present
  - compiler queries the processors
    - to determine what annotations they process
  - when a match is found, the processor is invoked



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## claim

- a processor may "claim" annotations
  - no further attempt to find any processors for those annotations
  - once all annotations have been claimed, compiler stops looking for additional processors
- claim is specified as return value of process() method
  - true: annotations are claimed;  
no subsequent processors are asked to process them
  - false: annotations are unclaimed;  
subsequent processors are asked to process them



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## subsequent rounds

- if processors generate new source files, another round of annotation processing starts
  - newly generated source files are parsed and annotations are processed as before
  - processors invoked on previous rounds are also invoked on all subsequent rounds
- this continues until no new source files are generated



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## last round

- after a round where no new source files are generated:
  - annotation processors are invoked one last time
    - to give them a chance to complete work they still need to do
  - compiler compiles original and all generated source files
- compilation and/or processing is controlled by -proc option
  - proc: only: only annotation processing, no subsequent compilation
  - proc: none: compilation takes place without annotation processing



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## environment

- processor environment
  - inherited as *protected field* from AbstractProcessor
  - provides:
    - Filter for creation of new source, class, or auxiliary files
    - Messenger to report errors, warnings, and other notices
- processor arguments
  - passed to process() method

### `Set<? extends TypeElement> annotations`

- subset of supported annotations found in source

### `RoundEnvironment roundEnv`

- supplies elements annotated with a given annotation or all root elements in the source



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## Mirror API

- API for access to AST (abstract syntax tree)
  - navigation "top to bottom" or visitor pattern

```
public boolean process(Set<? extends TypeElement> annotations,
                      RoundEnvironment roundEnv) {
    for (Element t : roundEnv.getRootElements()) {
        if (t.getModifiers().contains(Modifier.PUBLIC)) {
            for (ExecutableElement m :
                ElementFilter.methodsIn(t.getEnclosedElements())) {
                Property p = m.getAnnotation(Property.class);
                if (p != null) { ... process property ... }
            ...
        }
    }
}
```



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## filers

```
private void writeGeneratedFile(String beanClassName) {  
    FileObject sourceFile  
        = processingEnv.getFilter().createSourceFile(beanClassName);  
    PrintWriter out = new PrintWriter(sourceFile.openWriter());  
    out.print("public class ");  
    ...  
    out.close();  
}
```

- Filters are obtained from the *processing* environment
  - not from the *round* environment



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## Compiler API

- remember, since Java 6.0 ...
  - compiler can be invoked from programs
  - see package javax.tools
  - i.e. annotation processing can be started programmatically

```
JavaCompiler compiler = ToolProvider.getSystemJavaCompiler();  
OutputStream outStream = ..., errStream = ...;  
int result = compiler.run(null, outStream, errStream,  
    "-sourcepath", "src", "Test.java");
```



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## case studies

- process an annotation on the source level
  - define a @Comparator annotation
    - that can be used to annotate methods that perform a comparison
  - build an annotation processor that generates a Comparator class
    - for each annotated method
- process an annotation at runtime reflectively
  - define a @SortingOrder annotation
    - that can be used to annotate fields of type List
  - build a validator
    - that checks whether all annotated fields are sorted as specified



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- annotation language features
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  - source level processing
  - reflective processing



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## @Comparator annotation

- define a @Comparator annotation
  - that can be used to annotate methods that perform a comparison
- build an annotation processor that generates a Comparator class
  - for each annotated method



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## intended use of annotation

file: data\Name.java

```
public class Name {  
    private final String first;  
    private final String last;  
    public Name(String f, String l) {  
        first = f;  
        last = l;  
    }  
    @Comparator("NameByFirstNameComparator")  
    public int compareToByFirstName(Name other) {  
        if (this == other) return 0;  
        int result;  
        if ((result = this.first.compareTo(other.first)) != 0)  
            return result;  
        return this.last.compareTo(other.last);  
    }  
}
```



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## class to be generated

file: data\NameByFirstNameComparator.java

```
public class NameByFirstNameComparator  
    implements java.util.Comparator<Name> {  
  
    public int compare(Name o1, Name o2) {  
        return o1.compareToByFirstName(o2);  
    }  
    public boolean equals(Object other) {  
        return this.getClass() == other.getClass();  
    }  
}
```



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## define the @Comparator annotation

file: processor/Comparator.java

```
@Documented  
@Target(ElementType.METHOD)  
@Retention(RetentionPolicy.SOURCE)  
public @interface Comparator {  
    String value();  
}
```

- applicable to methods only
- present in source code only
- value is the name of the Comparator class to be generated



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## annotation processor

file: processor/ComparatorAnnotationProcessor.java

```
@SupportedAnnotationTypes({"processor.Comparator"})  
@SupportedSourceVersion(SourceVersion.RELEASE_6)  
public class ComparatorAnnotationProcessor  
    extends AbstractProcessor {  
    public boolean process(  
        Set<? extends TypeElement> annotations,  
        RoundEnvironment roundEnv) {  
        ... see next slide ...  
    } }
```

- supports no options
- processes only the @Comparator annotation



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## processing @Comparator

```
public void process() {
    for (Element t : roundEnv.getRootElements()) {
        if (t.getModifiers().contains(Modifier.PUBLIC)) {
            for (ExecutableElement m :
                ElementFilter.methodsIn(t.getEnclosedElements())) {
                Comparator a = m.getAnnotation(Comparator.class);
                if (a != null) {
                    ... see next slide ...
                }
            }
        }
    }
}
```

- process all type declarations in the source file
- ignore non-public ones
- process all methods of the type
- ignore methods without a @Comparator annotation



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## checking the annotated method

```
TypeMirror returnType = m.getReturnType();
if (!(returnType instanceof PrimitiveType) ||
    ((PrimitiveType)returnType).getKind() != TypeKind.INT)
{
    processingEnv.getMessenger().printMessage(Diagnostic.Kind.ERROR,
        "@Comparator can only be applied to methods that return int");
    continue;
}
... see next slide ...
```

- check whether return type is int
- print error message



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## generating the source file

```
private void writeComparatorFile(
    String fullClassName,
    String comparatorClassName,
    String compareToMethodName) throws IOException {
    int i = fullClassName.lastIndexOf(".");
    String packageName = fullClassName.substring(0, i);
    FileObject sourceFile = processingEnv.getFilter().createSourceFile(packageName + "."
        + comparatorClassName);
    PrintWriter out = new PrintWriter(sourceFile.openWriter());
    if (i > 0) { out.println("package " + packageName); }
    ... see next slide ...
}
```

- get output destination from environment
- create a source file and provide the class name
  - package directory and .java suffix are determined automatically



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## invoke compiler

- invoke the javac compiler for annotation processing
  - it generates a class for each annotated method
  - in the package of the method's enclosing class

```
>javac -processor processor.ComparatorAnnotationProcessor
data\Name.java
```



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## @SortingOrder annotation

- define a @SortingOrder annotation
  - that can be used to annotate fields of type List
- build a validator
  - that checks whether all annotated fields are sorted as specified

```
public final class SomeClass {  
    @SortingOrder(CaseInsensitiveStringComparator.class)  
    private List<String> ids;  
  
    public SomeClass() {  
        ... fill list ...  
        assert Validator.validateFields(this);  
    }  
    private void someMethod() {  
        assert Validator.validateFields(this);  
        ... the method's functionality ...  
        assert Validator.validateFields(this);  
    } }
```



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## define the @SortingOrder annotation

```
@Documented  
@Target(ElementType.FIELD)  
@Retention(RetentionPolicy.RUNTIME)  
public@interface SortingOrder {  
    Class<? extends Comparator<?>> value();  
}
```

- applicable to fields only
- available via reflection
- value is the name of Comparator class
  - that determines the sorting order



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## validator

```
public final class Validator {  
    public static boolean validateFields(Object theObject) {  
        Field[] fields = theObject.getClass().getDeclaredFields();  
        boolean valid = true;  
        for (Field f : fields) {  
            f.setAccessible(true);  
            ValidatorResult result  
                = fieldsSorted(f, f.get(theObject));  
            if (result == NOT_SORTED) {  
                } } return false;  
    } } return true;
```

← suppress access check

- helper method fieldsSorted():
  - visits all fields
  - ignores synthetic fields
  - checks sorting order
- problem: need access to the actual field



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## validation helper

```
private static Validator onResult(Field f, Object theField) {
    SortingOrder a
        = (SortingOrder) f.getAnnotation(SortingOrder.class);
    if (a == null) return Validator.onResult.NO_ORDER_REQUIRED;
    if (!f.getType().isAssignableFrom(List.class))
        throw new ValidatorException("can only validate Lists");
    Comparator c = a.value().newInstance();
    if (checkSortingOrder(c, (List)theField))
        return Validator.onResult.SORTED;
    else
        return Validator.onResult.NOT_SORTED;
}
```



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## wrap-up

- annotations permit associating information with program elements
  - consist of member-value-pairs and an annotation type
  - annotation types are a restricted variant of interfaces
- annotations have different lifetime
  - SOURCE, CLASS, RUNTIME
  - runtime annotations can be read via reflection
  - source code annotation processing supported by javac compiler



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## wrap-up

- 6.0 pluggable annotation processing support
  - an easy way of processing annotations and generating side files
  - not an exhaustive exploration of the possibilities
  - case study intends to provide an idea of what can be done with annotated source files
- introspective annotation processing
  - enhances the possibilities of dynamic programming
  - allows separating regular code from special purpose code
  - annotations with class values carry functionality



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## annotation processing

# Q & A



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